



PATENT APPLICATION
Attorney Docket No.: D/97063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): **Stephen F. Linder**

Application No.: 08/878,978

Filed: June 19, 1997

Examiner: Unassigned

Art Unit: Unassigned

Title: **METHOD AND SYSTEM FOR
PROCESSING AND RENDERING OBJECT
ORIENTED NEUTRAL IMAGE DATA**

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

LETTER

Attached hereto is a "Declaration and Power of Attorney for Patent Application" form, and an "Assignment" form for the above-identified application.

Authorization is also given for charging to Deposit Account No. 24-0025 in the amount of \$130.00 for the surcharge.

Respectfully submitted,

Michael J. Nickerson
Attorney For Applicants
Registration No. 33,265

MJN/dah
Xerox Corporation
Xerox Square 20A
Rochester, New York
Telephone: 716-423-3715

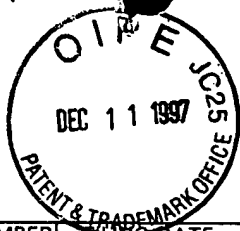
CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope to: Assistant Commissioner for Patents, Washington, D. C. 20231,
October 2, 1997 (Date of deposit)

Michael J. Nickerson
(Name of applicant, assignee,
or Registered Representative)

(Signature)
Date of Signature: *10/2/97*

DOCKETED DWA

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MJH

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
08/878,978	06/19/97	2105	\$770.00	D/97063	7	7	3

RECEIVED

NOV 17 1997

PATENT DEPARTMENT

RONALD ZIBELLI
XEROX CORPORATION
XEROX SQUARE 20A
ROCHESTER NY 14644

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

STEPHEN F. LINDER, FAIRPORT, NY. *Craig H. Mallery, Webster, NY.*

FOREIGN FILING LICENSE GRANTED 11/13/97

TITLE

METHOD AND SYSTEM FOR PROCESSING AND RENDERING OBJECT ORIENTED
NEUTRAL IMAGE DATA

PRELIMINARY CLASS: 399

RECEIPT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTENTION: APPLICATION BRANCH

Handwritten initials

In re the Application of:
Stephen F. Linder, et al.

Application No.: 08/878,978
Filed: 6/19/97

Group Art Unit: 2105
Attorney Docket No.: D/97063

Title: METHOD AND SYSTEM FOR PROCESSING AND RENDERING OBJECT ORIENTED
NEUTRAL IMAGE DATA

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir or Madam:

A corrected filing receipt is hereby requested in view of the error which appears on the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors, Craig H. Mallery, second inventor is missing, has been noted in red. Please note attached copies of the petition to correct inventorship, Statement of facts, Inventorship Correction, Letter and Declaration and Power of Attorney for Patent Application form, and an Assignment, which were mailed to the USPTO on 10/2/97.

As the error was made by the Patent and Trademark Office, it is believed that no fee is due. However, please credit or debit Deposit Account 24-0025 as necessary to effect entry of the attached corrections.

Very truly yours,

Susan K. Vanderschmidt
Patent Docket Coordinator
(716) 423-3725

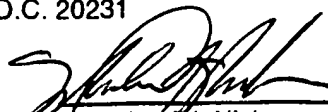
Xerox Corporation
Office of General Counsel
Xerox Square - 20A
Rochester, New York 14644

skv
December 8, 1997

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope to:

Assistant Commissioner for Patents,
Washington, D.C. 20231

On October 2, 1997
Date


Michael J. Nickerson

PATENT APPLICATION
Attorney Docket No. D/97063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Inventor(s): Stephen F. Linder

Application No.: 08/878,978

Filed: June 19, 1997

Group Art Unit: Unassigned

Examiner: Unassigned

**Title: A METHOD AND SYSTEM FOR PROCESSING AND RENDERING OBJECT
ORIENTED NEUTRAL IMAGE DATA**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

PETITION FOR CORRECTION OF INVENTORSHIP UNDER 37 C.F.R. §1.48(a)

It is respectfully requested that a correction of inventorship under 37 C.F.R. §1.48(a), and as specified below, be granted for the above identified application.

The following documents are included herewith in accordance with Rule 48(a).

- 1) A statement of facts verified by the original named inventor establishing when the error without deceptive intent was discovered and how it occurred;
- 2) An declaration by each actual inventor or inventors as required by §1.63;
- 3) A fee set forth in §1.17(h) and which payment is authorized by way of this petition and specified below; and
- 4) The written consent of the assignee, Xerox Corporation.

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Please charge the fee for this petition, and any other fees which may be required under 37 C.F.R. §1.17(h), to Deposit Account No. 24-0025. Two duplicates of this sheet are enclosed.

Respectfully Submitted,



Michael J. Nickerson

Attorney For Applicant(s)

Signature under 37 C.F.R. 1.33 & 34

Registration No. 33,265

MJN/dah

Xerox Corporation
Xerox Square 20A
Rochester, New York
Telephone: 716-423-3715

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Assistant Commissioner for Patents,
Washington, D.C. 20231

On October 2, 1997
Date


Michael J. Nickerson

PATENT APPLICATION
Attorney Docket No. D/97063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Inventor(s): Stephen F. Linder

Application No.: 08/878,978

Group Art Unit: Unassigned

Filed: June 19, 1997

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**Title: A METHOD AND SYSTEM FOR PROCESSING AND RENDERING OBJECT
ORIENTED NEUTRAL IMAGE DATA**

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Honorable Commissioner for Patents
Washington, D.C. 20231

Sir:

STATEMENT OF FACTS UNDER 37 C.F.R. §1.48(a)

I, Stephen F. Linder, the inventor named for the above identified application declare that:

1. The subject matter of the above-identified application was developed through the interaction and collaboration of both the Applicant and Craig H. Mallery, employees of Xerox Corporation.
2. During initial discussions regarding the scope of the invention with Michael J. Nickerson, Attorney for the Applicant, in May of 1997, Applicant noted that Craig H. Mallery was inadvertently, without deceptive intent, omitted from the initial inventor list provided to the Xerox Patent Department.

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Statement of Facts (Continued)

3. After these initial discussions, the Applicant and Craig H. Mallery, both reviewed and assisted in the preparation of the above-identified application.
4. Through an administrative error without deceptive intent the information conveyed by the Applicant to the Attorney for the Applicant in May of 1997 was not entered into the inventor database record for the above-identified application.
5. The above-identified application was filed on an expedited bases without the inventor signature because of perceived and actual competitive activity in this area of technology by industrial concerns.
6. On June 19, 1997, the paperwork, accompanying the filed patent application, which provided the information regarding inventorship was generated directly from the inventor data base record for the above-identified application.
7. The omission was discovered in July of 1997 when the Attorney for the Applicant began to prepare the formal Declaration for the above-identified application.
8. It is thus entirely inadvertent, through error and without any deceptive intention that the above -identified patent application was filed in the name of Stephen F. Linder wherein the inventors are actually Stephen F. Linder and Craig H. Mallery.

9. The undersigned, as the originally named inventor, declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under 35 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the patent.

Further declarant sayeth not.

Respectfully submitted,

Stephen F. Linder

Stephen F. Linder



**Patent Application
Attorney Docket No. D/97063**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Stephen F. Linder

Application No.: 08/878,978

Filed: June 19, 1997

Examiner: Unassigned

Group Art Unit: Unassigned

**Title: A METHOD AND SYSTEM FOR PROCESSING
AND RENDERING OBJECT ORIENTED NEUTRAL
IMAGE DATA**

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope to: Assistant Commissioner for Patents, Washington, D. C. 20231, on December 2, 1997 (Date of deposit)

Michael J. Nickerson

(Name of applicant, assignee,
or Registered Representative)


(Signature)

Date of Signature: 10/2/97

INVENTORSHIP CORRECTION UNDER 37 C.F.R. §1.48(a)

The undersigned John E. Beck, having authority from Xerox Corporation to and on behalf of Xerox Corporation, the Assignee of the entire interest in and to the above application by virtue of an Assignment executed on and filed with the Patent Office concurrently with this Petition to Correct Inventorship, hereby consents to the addition of Craig H. Mallery as inventor to Xerox Corporation U.S. Patent Application No. 08/878,978.

On behalf of Xerox Corporation, the Assignee of the entire interest, that is 100 percent, in and to the above-identified application, the evidentiary documents have been reviewed by the undersigned and the undersigned attorney certifies that to the best of the Assignee's knowledge and belief title is in the Assignee seeking to take action.

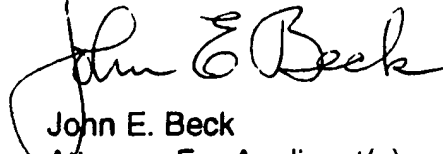
The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both under 35

DOCKETED DWA

U.S.C. §1001 and that such willful false statements may jeopardize the validity of the patent.

Please charge the fee, reference 37 C.F.R. 1.48 (3), to Xerox Deposit Account No. 24-0025.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "John E. Beck". The signature is written in dark ink and is positioned above the printed name and title.

John E. Beck
Attorney For Applicant(s)
Registration No. 22,833
716-423-4318

JEB/MJN/dah

Xerox Corporation
Xerox Square 20A
Rochester, New York

ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, WE, the undersigned,

Stephen F. Linder and Craig H. Mallory

who have created a certain invention for which an application for United States Letters Patent has been executed concurrently herewith and is entitled

METHOD AND SYSTEM FOR PROCESSING AND RENDERING OBJECT ORIENTED NEUTRAL IMAGE DATA

Do hereby sell, assign and transfer to **XEROX CORPORATION**, a corporation of the State of New York having a place of business at Stamford, in the County of Fairfield, and State of Connecticut, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties;

Agree that **XEROX CORPORATION**, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutes, reissues, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, its successors, assigns, or legal representatives; and

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

IN TESTIMONY WHEREOF WE have hereunto set OUR signatures on the dates indicated below.

Stephen F. Linder
Stephen F. Linder

Date 9/16/97

Craig H. Mallory
Craig H. Mallory

Date 9/16/97

Return Address: Xerox Corporation
Xerox Square 20A
Rochester, New York 14644



PATENT APPLICATION
Attorney Docket No. D/97063

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHOD AND SYSTEM FOR PROCESSING AND RENDERING OBJECT ORIENTED NEUTRAL IMAGE DATA**

the specification and claims of which

☐ are attached hereto OR ☒ was filed on June 19, 1997 as U.S. Application No. 08/878,978

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim priority benefits under Title 35, United States Code, §119 of any foreign or U.S. Provisional application(s) for patent listed below, and have also identified below any foreign application(s) or Provisional application(s) for patent having a filing date before that of the application on which priority is claimed:

Prior Foreign or U.S. Provisional Application(s)

(Number)

(Country)

(Day/Month/Year Filed)

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Ronald Zibelli,

Reg. No. 20,484;

Henry Fleischer,

Reg. No. 25,582;

John E. Beck,

Reg. No. 22,833;

Mark Costello,

Reg. No. 31,342;

Serge Abend,

Reg. No. 24,152;

Michael J.

Reg. No. 33,265

Nickerson,

Richard B. Domingo,

Reg. No. 36,784;

ADDRESS ALL CORRESPONDENCE TO:

Ronald Zibelli

Xerox Corporation

Xerox Square 20A

Rochester, New York 14644

DIRECT TELEPHONE CALLS TO:

(name and telephone number)

Michael J. Nickerson

716-423-3715

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

DECLARATION AND POWER OF ATTORNEY, continued

Name of sole or first inventor: Stephen F. Linder

Inventor's Signature:

Residence: 71 Broadmoor Trail, Fairport, New York 14450

Citizenship: US

Date: 9/16/97

Post Office Address:
(Same as above)

Name of second joint inventor: Craig H. Mallery

Inventor's Signature:

Residence: 693 Ashdon Circle, Webster, NY 14580

Citizenship: USA

Date: 9/16/97

Post Office Address:
(Same as above)